

*Dr. Thomas Kestler (Univ. of Würzburg)*

## Political Systems, Actors and Policies from a Neo- Institutionalist Perspective

**Course schedule:** Wednesday, 11am-1pm (Porto Alegre time), starting on April 28, 2021

### Plan of the course

	Date	Subject	Literature
1	28/4	Introduction	Immergut 1998
2	5/5	The New Institutionalism in Sociology	DiMaggio/Powell 1991
3	12/5	Rational Choice Institutionalism	Shepsle 2008
4	19/5	Rational Actors and Electoral Laws	Benoit 2004
5	26/5	Historical Institutionalism: Politics in time	Steinmo 2010
6	2/6	Path dependence and dynamism in federal systems	Kestler 2017
7	9/6	Path dependence in social policy	Lynch/Rhodes 2016
8	16/6	Institutional complementarities in democratic systems	Bogaards 2017
9	23/6	Path dependence in regional integration	Pierson 1996
10	30/6	The institutionalization of democracy	Rustow 1970
11	7/7	Cycles of party institutionalization and decline	Samuels 2004
12	14/7	Myths and narratives – how they emerge and how they get institutionalized	Sewell 1996
13	21/7	Ideas and Economic Policy	Hall 1993
14	28/7	Ideas and Foreign Policy	Goldstein/Keohane 1993
15	4/8	Concluding discussion	

## Literature:

- Acemoğlu, Daron; Robinson, James A. (2006): *Economic Origins of Dictatorship and Democracy*. Cambridge: Cambridge Univ. Press. Available online at <http://www.loc.gov/catdir/enhancements/fy0633/2005011262-d.html>.
- Alchian, Armen A. (1950): Uncertainty, Evolution, and Economic Theory. In *Journal of Political Economy* 58 (3), pp. 211–221.
- Alchian, Armen A.; Demsetz, Harold (1972): Production, Information Costs, and Economic Organization. In *American Economic Review* 62 (5), pp. 777–795.
- Alchian, Armen A.; Demsetz, Harold (1973): The Property Right Paradigm. In *Journal of Economic History* 33 (01), pp. 16–27. DOI: 10.1017/S0022050700076403.
- Bates, Robert H. (1988): Contra Contractarianism. Some Reflections on the New Institutionalism. In *Politics & Society* 16 (2-3), pp. 387–401. DOI: 10.1177/003232928801600207.
- Béland, Daniel; Cox, Robert Henry (2011): Introduction. Ideas and Politics. In Daniel Béland, Robert Henry Cox (Eds.): *Ideas and Politics in Social Science Research*. Oxford, New York: Oxford Univ. Press, pp. 3–20.
- Béland, Daniel; Cox, Robert Henry (Eds.) (2011): *Ideas and Politics in Social Science Research*. Oxford, New York: Oxford Univ. Press.
- Berman, Sheri (2001): Ideas, Norms and Culture in Political Analysis. In *Comparative Politics* 33 (2), pp. 231–250.
- Berman, Sheri (1998): *The Social Democratic Moment. Ideas and Politics in the Making of Interwar Europe*. Cambridge, MA: Harvard Univ. Press.
- Beyme, Klaus von (2008): Political Institutions - Old and New. In R. A. W. Rhodes, Sarah A. Binder, Bert A. Rockman (Eds.): *The Oxford Handbook of Political Institutions*: Oxford Univ. Press, pp. 743–757.
- Blyth, Mark (1997): 'Any More Bright Ideas?' The Ideational Turn of Comparative Political Economy. In *Comparative Politics* 29 (1), pp. 229–250.
- Blyth, Mark (2003): Structures Do Not Come With Instruction Sheets: Interests, Ideas and Progress in Political Science. In *Perspectives on Politics* 1 (4), pp. 695–706.
- Bonazzi, Giuseppe; Tacke, Veronika (2014): Der Neoinstitutionalismus. In Giuseppe Bonazzi, Veronika Tacke (Eds.): *Geschichte des organisatorischen Denkens*. Herausgegeben von Veronika tacke. 2. Auflage. Wiesbaden: Springer, pp. 409–430.
- Bogaards, Matthijs (2017): *Comparative Political Regimes: Consensus and Majoritarian Democracy*: Oxford University Press (Oxford Research Encyclopedias).
- Brennan, Timothy J. (1991): The Trouble with Norms. In K. J. Koford, J. B. Miller (Eds.): *Social Norms and Economic Institutions*: University of Michigan Press.
- Brinton, Mary C. (Ed.) (1998): *The New Institutionalism in Sociology*. Russell Sage Foundation. New York: Russell Sage Foundation.
- Broschek, Jörg (2013): Between Path Dependence and Gradual Change: Historical Institutionalism and the Study of Federal Dynamics. In Arthur Benz, Jörg Broschek (Eds.): *Federal Dynamics. Continuity, Change, and the Varieties of Federalism*. First edition. Oxford: Oxford Univ. Press, pp. 93–116.
- Brousseau, Eric; Glachant, Jean-Michel (Eds.) (2008): *New Institutional Economics. A Guidebook*. Cambridge, UK, New York: Cambridge Univ. Press.
- Capoccia, Giovanni; Kelemen, R. Daniel (2007): The Study of Critical Junctures: Theory, Narrative, and Counterfactuals in Historical Institutionalism. In *World Politics* 59 (3), pp. 341–369.
- Carstensen, Martin B. (2011): Ideas are not as Stable as Political Scientists Want Them to Be. A Theory of Incremental Ideational Change. In *Political Studies* 59 (3), pp. 596–615. DOI: 10.1111/j.1467-9248.2010.00868.x.

- Clemens, Elisabeth S.; Cook, James M. (1999): Politics and Institutionalism: Explaining Durability and Change. In *Annual Review of Sociology* 25 (1), pp. 441–466. DOI: 10.1146/annurev.soc.25.1.441.
- Coase, Ronald (1998): The New Institutional Economics. In *American Economic Review* 88 (2), pp. 72–74.
- Coase, Ronald H. (1937): The Nature of the Firm. In *Economica* 4 (16), pp. 386–405. DOI: 10.1111/j.1468-0335.1937.tb00002.x.
- Coatsworth, John H. (2008): Inequality, Institutions and Economic Growth in Latin America. In *Journal of Latin American Studies* 40, pp. 545–569.
- Collier, Ruth Berins; Collier, David (2002): *Shaping the Political Arena. Critical Junctures, the Labor Movement, and Regime Dynamics in Latin America*. Notre Dame, IN: Univ. of Notre Dame Press.
- Cox, Robert (2004): The Path-Dependency of an Idea. Why Scandinavian Welfare States Remain Distinct. In *Social Policy and Administration* 38 (2), pp. 204–219. DOI: 10.1111/j.1467-9515.2004.00386.x.
- Diermeier, Daniel; Krehbiel, Keith (2003): Institutionalism as Methodology. In *Journal of Theoretical Politics* 15 (2), pp. 123–144.
- DiMaggio, Paul; Powell, Walter W. (1991): Introduction. In Walter W. Powell, Paul DiMaggio (Eds.): *The New Institutionalism in Organizational Analysis*. Chicago: Univ. of Chicago Press, pp. 1–38.
- Erdmann, Gero; Engel, Ulf (2006): *Neopatrimonialism Revisited - Beyond a Catch-All Concept*. GIGA (Working Paper, 16).
- Falleti, Tulia G. (2005): A Sequential Theory of Decentralization: Latin American Cases in Comparative Perspective. In *American Political Science Review* 99 (3), pp. 327–346.
- Finnemore, Martha; Sikkink, Kathryn (2001): Taking Stock. The Constructivist Research Program in International Relations and Comparative Politics. In *Annual Review of Political Science* 4 (1), pp. 391–416. DOI: 10.1146/annurev.polisci.4.1.391.
- Fioretos, Orfeo; Falleti, Tulia G.; Sheingate, Adam (Eds.) (2016): *The Oxford Handbook of Historical Institutionalism*. First edition. Oxford, New York: Oxford Univ. Press.
- Fiorina, Morris P. (1995): Rational Choice and the New (?) Institutionalism. In *Polity* 9, pp. 107–115.
- Göhler, Gerhard (Ed.) (1994): *Die Eigenart der Institutionen. Zum Profil politischer Institutionentheorie*. 1. Aufl. Baden-Baden: Nomos.
- Goldstein, Judith; Keohane, Robert O. (1993): Ideas and Foreign Policy: An Analytical Framework. In Judith Goldstein, Robert O. Keohane (Eds.): *Ideas and Foreign Policy. Beliefs, Institutions, and Political Change*. Ithaca: Cornell University Press (Cornell studies in political economy), pp. 3–30.
- Granovetter, Mark (1985): Economic Action and Social Structure: The Problem of Embeddedness. In *American Journal of Sociology* 91 (3), pp. 481–510.
- Greenwood, Royston (Ed.) (2008): *The SAGE Handbook of Organizational Institutionalism*. Los Angeles, London: SAGE.
- Greif, Avner (2006): *Institutions and the Path to the Modern Economy. Lessons from Medieval Trade*. Leiden: Cambridge Univ. Press (Political Economy of Institutions and Decisions).
- Greif, Avner; Kingston, Christopher (2011): *Institutions: Rules or Equilibria*. In Norman Schofield (Ed.): *Political Economy of Institutions, Democracy and Voting*. Berlin: Springer.
- Greif, Avner; Laitin, David D. (2004): A Theory of Endogenous Institutional Change. In *American Political Science Review* 98 (4), pp. 633–652. Hall, Peter A. (1993): Policy Paradigms, Social Learning, and the State: The Case of Economic Policymaking in Britain. In *Comparative Politics* 25 (3), pp. 275–296.
- Hall, Peter A.; Taylor, Rosemary C. R. (1996): Political Science and the Three New Institutionalisms. In *Political Studies* 44, pp. 936–957.
- Hall, Peter A.; Soskice, David (Eds.) (2001): *Varieties of Capitalism. The Institutional Foundations of Comparative Advantage*. Oxford: Oxford Univ. Press.
- Hall, Peter A.; Soskice, David (2001): An Introduction to Varieties of Capitalism. In: Peter A. Hall und David Soskice (Hg.): *Varieties of Capitalism. The Institutional Foundations of Comparative Advantage*. Oxford: Oxford Univ. Press, S. 1–68.

- Hay, Colin (2008): Constructivist Institutionalism. In R. A. W. Rhodes, Sarah A. Binder, Bert A. Rockman (Eds.): *The Oxford Handbook of Political Institutions*: Oxford Univ. Press, pp. 56–74.
- Hay, Colin; Wincott, Daniel (1998): Structure, Agency and Historical Institutionalism. In *Political Studies* (46), pp. 951–957.
- Helmke, Gretchen; Levitsky, Steven (Eds.) (2006): *Informal Institutions and Democracy. Lessons from Latin America*. Baltimore: Johns Hopkins Univ. Press.
- Immergut, Ellen M. (1998): The Theoretical Core of the New Institutionalism. In *Politics and Society* (26), pp. 5–34.
- Jacoby, Sanford M. (1990): The New Institutionalism: What Can It Learn from the Old? In *Industrial Relations* 29 (2), pp. 316–340.
- Kestler, Thomas (2018): Institutional Dynamics in Loosely Coupled Federal Systems. The Cases of Argentina and Venezuela. In *Regional & Federal Studies* 17 (3), pp. 617–644. DOI: 10.1080/13597566.2018.1491842.
- Kestler, Thomas; Krause, Silvana; Lucca, Juan (2019): Timing, Sequences and New Party Institutionalization in Latin America. In *Zeitschrift für Vergleichende Politikwissenschaft, Sonderheft* (forthcoming).
- Knight, Jack (1998): The Bases of Cooperation: Social Norms and the Rule of Law. In *Journal of Institutional and Theoretical Economics* 154 (4), pp. 754–763.
- Knight, Jack; Sened, Itai (Eds.) (1995): *Explaining Social Institutions*. Ann Arbor, MI: Univ. of Michigan Press.
- Krasner, Stephen D. (1984): Approaches to the State: Alternative Conceptions and Historical Dynamics. In *Comparative Politics* 16 (2), pp. 223–246.
- Langlois, Richard N. (1989): What was wrong with the old institutional economics (and what is still wrong with the new)? In *Review of Political Economy* 1 (3), pp. 270–298. DOI: 10.1080/09538258900000022.
- Lauth, Hans-Joachim (2012): Informal Governance and Democratic Theory. In Thomas Christiansen, Christine Neuhold (Eds.): *International Handbook on Informal Governance*. Cheltenham: Edward Elgar Publishing, pp. 40–64.
- Lepsius, Mario Rainer (2009): *Interessen, Ideen und Institutionen*. 2. Aufl. Wiesbaden: VS Verl. f. Sozialwiss.
- Levitsky, Steven (1998): Institutionalization and Peronism. The Concept, the Case and the Case for Unpacking the Concept. In *Party Politics* 4 (1), pp. 77–92.
- Lewis, Orion; Steinmo, Sven (2012): How Institutions Evolve: In *Polity* 44 (3), pp. 314–339.
- Lieberman, Robert (2002): Ideas, Institutions and Political Order: Explaining Political Change. In *American Political Science Review* 96 (4), pp. 697–712.
- Lindenberg, siegwart (1998): The Cognitive Turn in Institutional Analysis: Beyond NIE and NIS? In *Journal of Institutional and Theoretical Economics* (154), pp. 716–727.
- Lowndes, Vivien; Roberts, Mark (2013): *Why Institutions Matter. The New Institutionalism in Political Science*. 1. publ. Basingstoke: Palgrave Macmillan (Political analysis).
- Lynch, Julia; Rhodes, Martin (2016): Historical Institutionalism and the Welfare State. In Orfeo Fioretos, Tullia G. Falletti, Adam Sheingate (Eds.): *The Oxford Handbook of Historical Institutionalism*. First edition. Oxford, New York: Oxford Univ. Press.
- Mahoney, James (2001): *The Legacies of Liberalism. Path Dependence and Political Regimes in Central America*. Baltimore: Johns Hopkins Univ. Press.
- Mahoney, James; Thelen, Kathleen (2010): A Theory of Gradual Institutional Change. In James Mahoney, Kathleen Thelen (Eds.): *Explaining Institutional Change. Ambiguity, Agency, and Power*. Cambridge, New York: Cambridge Univ. Press, pp. 1–37.
- March, James G. (Ed.) (1965): *Handbook of Organizations*. Chicago: Rand McNally.

- March, James G.; Olsen, Johan P. (1984): The New Institutionalism: Organizational Factors in Political Life. In *American Political Science Review* 78 (3), pp. 734–749.
- March, James G.; Olsen, Johan P. (1989): *Rediscovering Institutions: The Organizational Basis of Politics*. New York: Free Press.
- Mayntz, Renate; Scharpf, Fritz W. (1995): Der Ansatz des akteurszentrierten Institutionalismus. In Renate Mayntz, Fritz W. Scharpf (Eds.): *Gesellschaftliche Selbstregulierung und politische Steuerung*. Frankfurt/Main: Campus (Schriften des Max-Planck-Instituts für Gesellschaftsforschung Köln, 23), pp. 40–72.
- McNamara, Kathleen R. (1998): *The Currency of Ideas: Monetary Politics in the European Union*. Ithaca: Cornell Univ. Press.
- Ménard, Claude; Shirley, Mary M. (Eds.) (2005): *Handbook of New Institutional Economics*. Dordrecht, [Great Britain]: Springer.
- Nee, Victor (2005): New Institutionalism, Economic and Sociological. In Neil J. Smelser, Richard Swedberg (Eds.): *The Handbook of Economic Sociology*. 2. ed. Princeton, NY: Princeton Univ. Press.
- North, Douglass C. (1990): *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge Univ. Press (The Political economy of institutions and decisions).
- North, Douglass C. (1991): Institutions. In *Journal of Economic Perspectives* 5 (1), pp. 97–112.
- North, Douglass C. (2005): *Understanding the Process of Economic Change*. Princeton: Princeton Univ. Press (The Princeton economic history of the Western world).
- North, Douglass C.; Pollard, Sidney (1984): Transaction Costs, Institutions, and Economic History. In *Zeitschrift für die gesamte Staatswissenschaft* 140 (1), pp. 7–17.
- North, Douglass Cecil; Thomas, Robert Paul (1976): *The Rise of the Western World. A New Economic History*. Cambridge: Univ. Press.
- Ostrom, Elinor; Basurto, Xavier (2011): Crafting analytical tools to study institutional change. In *Journal of Institutional Economics* 7 (03), pp. 317–343. DOI: 10.1017/S1744137410000305.
- Peters, B. Guy (1999): *Institutional Theory in Political Science. The New Institutionalism*. London, New York: Pinter.
- Peters, Guy B. (1998): Political Institutions, Old and New. In: Robert E. Goodin und Hans-Dieter Klingemann (Hg.): *A New Handbook of Political Science*. Oxford: Oxford Univ. Press, S. 205–220.
- Phillips, Nelson; Lawrence, Thomas B.; Hardy, Cynthia (2004): Discourse and Institutions. In *Academy of Management Review* 29 (4), pp. 635–652.
- Pierson, Paul (1996): The Path to European Integration. A Historical Institutional Analysis. In *Comparative Political Studies* 29 (2), pp. 123–163. DOI: 10.1177/0010414096029002001.
- Pierson, Paul (2000a): Increasing Returns, Path Dependence, and the Study of Politics. In *American Political Science Review* 94 (2), pp. 251–267.
- Pierson, Paul (2000b): Not Just What, but When. Timing and Sequence in Political Processes. In *Studies in American Political Development* 14 (1), pp. 72–92. DOI: 10.1017/S0898588X00003011.
- Pierson, Paul (2004): *Politics in Time. History, Institutions, and Social Analysis*. Princeton: Princeton Univ. Press.
- Pierson, Paul; Skocpol, Theda (2002): Historical Institutionalism in Contemporary Political Science. In Ira Katznelson, Helen V. Milner (Eds.): *Political Science. State of the Discipline*. New York: W.W.Norton, pp. 693–721.
- Powell, Walter W.; DiMaggio, Paul (Eds.) (1991): *The New Institutionalism in Organizational Analysis*. Chicago: University of Chicago Press.
- Rhodes, R. A. W.; Binder, Sarah A.; Rockman, Bert A. (Eds.) (2008): *The Oxford Handbook of Political Institutions*. Oxford Univ. Press.
- Rustow, Dankwart (1970): Transitions to Democracy. Toward a Dynamic Model. In *Comparative Politics* 2 (April), pp. 337–363.

- Samuels, David J. (2004): From Socialism to Social Democracy: Party Organization and the Transformation of the Workers' Party in Brazil. In *Comparative Political Studies* 37 (9), pp. 999–1024. DOI: 10.1177/0010414004268856.
- Schmidt, Vivien A. (2008): Discursive Institutionalism: The Explanatory Power of Ideas and Discourse. In *Annual Review of Political Science* 11, pp. 303–326.
- Schmidt, Vivien A. (2010): Taking ideas and discourse seriously. Explaining change through discursive institutionalism as the fourth 'new institutionalism'. In *Eur. Pol. Sci. Rev.* 2 (01), p. 1. DOI: 10.1017/S175577390999021X.
- Schmidt, Vivien A. (2011): Reconciling Ideas and Institutions through Discursive Institutionalism. In Daniel Béland, Robert Henry Cox (Eds.): *Ideas and Politics in Social Science Research*. Oxford, New York: Oxford Univ. Press, pp. 47–64.
- Scott, W. Richard (2010): Reflections. The Past and Future of Research on Institutions and Institutional Change. In *Journal of Change Management* 10 (1), pp. 5–21. DOI: 10.1080/14697010903549408.
- Scott, W. Richard (2008): *Institutions and Organizations. Ideas and Interests*. 3rd ed. Los Angeles: SAGE Publications.
- Selznick, Philip (1948): Foundations of the Theory of Organization. In *American Sociological Review* 13, pp. 25–35.
- Selznick, Philip: Institutionalism 'Old' and 'New'. In *Administrative Science Quarterly* 41, pp. 270–277.
- Sewell, William H. (1996): Historical Events as Transformations of Structures: Inventing Revolution at the Bastille. In *Theory and Society* 25 (6), pp. 841–881. DOI: 10.1007/BF00159818.
- Sheingate, Adam (2014): Institutional Dynamics and American Political Development. In *Annual Review of Political Science* 17 (1), pp. 461–477. DOI: 10.1146/annurev-polisci-040113-161139.
- Shepsle, Kenneth A. (1989): Studying Institutions: Some Lessons from the Rational Choice Approach. In *Journal of Theoretical Politics* 1 (2), pp. 131–147.
- Shepsle, Kenneth (2008): Rational Choice Institutionalism. In R. A. W. Rhodes, Sarah A. Binder, Bert A. Rockman (Eds.): *The Oxford Handbook of Political Institutions*: Oxford Univ. Press, pp. 23–38.
- Sikkink, Kathryn (1991): *Ideas and Institutions. Developmentalism in Brazil and Argentina*. Ithaca: Cornell Univ. Press (Cornell studies in political economy).
- Skocpol, Theda (1992): *Protecting Soldiers and Mothers. The Political Origins of Social Policy in the United States*. Cambridge, Mass: Belknap Press of Harvard Univ. Pr.
- Skocpol, Theda (1990): *States and Social Revolutions. A Comparative Analysis of France, Russia and China*. Cambridge: Univ. Pr.
- Skocpol, Theda (1985): Bringing the State Back In: Strategies of Analysis in Current Research. In Peter B. Evans, Dietrich Rueschemeyer, Theda Skocpol (Eds.): *Bringing the State Back In*. Cambridge: Cambridge Univ. Press (Social science research council), pp. 3–37.
- Steinmo, Sven (2010): Historical Institutionalism. In Donatella Della Porta, Michael Keating (Eds.): *Approaches and Methodologies in the Social Sciences. A pluralist perspective*. Reprinted with corr. Cambridge: Cambridge Univ. Press, pp. 118–138.
- Steinmo, Sven; Thelen, Kathleen; Longstreth, Frank (Eds.) (1998): *Structuring politics. Historical institutionalism in comparative analysis*. Reprinted. Cambridge: Cambridge Univ. Press (Cambridge studies in comparative politics).
- Streeck, Wolfgang; Thelen, Kathleen (Eds.) (2005): *Beyond continuity. Institutional change in advanced political economies*. ebrary, Inc. Oxford: Oxford Univ. Press. Available online at <http://site.ebrary.com/lib/academiccompletetitles/home.action>.
- Thelen, Kathleen (1999): Historical Institutionalism in Comparative Politics. In *Annual Review of Political Science* 2, pp. 369–404.
- Thelen, Kathleen (2000): Timing and Temporality in the Analysis of Institutional Evolution and Change. In *Studies in American Political Development* 14 (1), pp. 101–108.



- Weingast, Barry R. (1998): Political Institutions: Rational Choice Perspectives. In Robert E. Goodin, Hans-Dieter Klingemann (Eds.): A New Handbook of Political Science. Oxford: Oxford Univ. Press, pp. 167–190.
- Weyland, Kurt (2008): Toward a New Theory of Institutional Change. In World Politics 60 (2), pp. 281–314.
- Williamson, Oliver E. (2000): The New Institutional Economics: Taking Stock, Looking Ahead. In Journal of Economic Literature 38 (3), pp. 595–613.
- Williamson, Oliver E. (2002): The Theory of the Firm as Governance Structure: From Choice to Contract. In Journal of Economic Perspectives 16 (3), pp. 171–195.
- Zucker, Lynne G. (1991): The Role of Institutionalization in Cultural Persistence. In Walter W. Powell, Paul DiMaggio (Eds.): The New Institutionalism in Organizational Analysis. Chicago: University of Chicago Press, pp. 83–107.